

08-20-01

A

08/17/01

10685 U.S. Pat.

1002 U.S. Pat.  
09/932330

08/17/01

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	SRC012
	First Inventor	Jon M. Huppenthal
	Title	SWITCH/NETWORK ADAPTER PORT FOR CLUSTERED COMPUTERS EMPLOYING A CHAIN OF MULTI- ADAPTIVE PROCESSORS IN A DUAL IN-LINE MEMORY MODULE FORMAT
	Express Mail Label No.	EL922182035US

<b>APPLICATION ELEMENTS</b>	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
-----------------------------	--


<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [ total pages <u>28</u> ] (preferred Arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R&amp;D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) [ total sheets <u>5</u> ]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [ total sheets <u>3</u> ]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d))</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p>	<p>6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>
---	---

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/755,744

Prior application information: Examiner: not yet accorded Group/Art Unit: 2154

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

<b>19. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label 25235 or <input type="checkbox"/> Correspondence address below					
					
Name					
Hogan & Hartson, LLP					
Address					
1200 17 <sup>th</sup> Street					
Suite 1500					
City	Denver	State	CO	ZIP	80202
Country	US	Telephone	(719) 448-5900	Fax	(719) 448-5922

Name (Print/Type)	William J. Kubida	Registration No.	29,664
(Signature)		Date	17 Aug 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Continuation-in-Part Patent Application of:

Jon M. Huppenthal et al.

Serial No. \_\_\_\_\_

Filed: Herewith

Group Art Unit:

Examiner:

For: SWITCH/NETWORK ADAPTER PORT FOR CLUSTERED  
COMPUTERS EMPLOYING A CHAIN OF MULTI-ADAPTIVE  
PROCESSORS IN A DUAL IN-LINE MEMORY MODULE FORMAT

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal
2. Fee Transmittal
3. Utility Patent Application
4. Executed Combined Declaration and Power of Attorney
5. 5 sheets of drawings
6. Recordation Form Cover Sheet PTO 1595 with Executed Assignment and Recording Fee of \$40.00
7. \$ 674 filing fee
8. Return postcard
9. Certificate of Mailing By Express Mail

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL922182035US, with the United States Postal Service, addressed to Box PATENT APPLICATION, The Assistant Commissioner for Patents, Washington, D.C., 20231, 17 August 2001.

17 August 2001  
Date

17 August 2001  
Date

Maier  


William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON<sup>LLP</sup>  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax

# FEE TRANSMITTAL for FY 2001

## Complete if Known

Application Number	-----
Filing Date	Herewith
First Named Inventor	Jon M. Huppenthal
Examiner Name	
Group / Art Unit	
Attorney Docket No.	SRC012

TOTAL AMOUNT OF PAYMENT (\$) **(\$ 714.00)**

### METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **50-1123**

Deposit Account Name **Hogan & Hartson L.L.P.**

- ☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility Filing Fee	<b>355.00</b>
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

**SUBTOTAL (1) (\$ 355.00)**

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
51	-20**= 31	9	279
Independent Claims	4	-3**= 1	40
Multiple Dependent			0

\*\*or number previously paid, if greater, For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$319.00)**

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES


Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40.00
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination	
900	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$40.00)**

### SUBMITTED BY Complete (if applicable)

Name (Print/Type) **William J. Kubida** Registration No (Attorney/Agent) **29,664** Telephone **(719) 448-5900**

Signature  Date **19 AUG 2001**